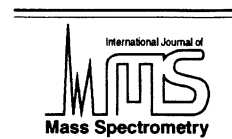




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# Sputtering of condensed polyatomic gases by kilo-electron-volt-energy ions

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## Abstract

We have measured the initial and fluence-dependent sputtering yields of condensed neat CO<sub>2</sub>, NO<sub>2</sub>, N<sub>2</sub>O, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, and NH<sub>3</sub> for kilo-electron-volt-energy rare-gas ion bombardment. The results of the measurements are rationalized in terms of the gas-flow model of condensed-gas sputtering, modified by the chemical reactions expected to occur during and after gas flow. The three triatomic target molecules studied have similar physical properties. The different initial sputtering yields observed are rationalized in terms of chemical effects occurring during bombardment. Only moderate fluence dependencies are observed. Sputtering of CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> targets occurs with only moderate initial sputtering yields, compatible with no gas-flow participation in the sputtering mechanism. With increasing incident ion fluence, the sputtering yields rise due to the build up of highly volatile products. For higher bombardment fluences two different results are observed. In the case of lighter bombarding ions (He<sup>+</sup>, Ne<sup>+</sup>, and, for the C<sub>2</sub>H<sub>4</sub> target, also Ar<sup>+</sup>) the yields eventually decrease, probably due to polymerization reaction products coating the target. For heavier projectile ions the yields plateau at a high level. We attribute this latter behavior to a strong gas-flow contribution which entraps even the heavier carbonaceous products in the gas flow and prevents their accumulation on the surface. This behavior has not been observed before. The sputtering behavior of NH<sub>3</sub> follows closely that of CH<sub>4</sub>, except that yield decreases due to polymerization are absent. (Int J Mass Spectrom 212 (2001) 477–489) © 2001 Elsevier Science B.V.

## 1. Introduction

The interaction of energetic ions with condensed gases is of interest for several disciplines, primarily for the planetary sciences [1] and ion beam inertial fusion [2]. It also attracts fundamental interest as a case study for chemical sputtering [3] and reactive ion etching [4] processes. Furthermore, the huge sputtering yields found for these materials establish them as

prototypical examples of the effect of thermal (elastic collision) spikes on sputtering [5]. Because the cohesive energies of the condensed gases are so small, similar effects in other materials can be achieved only under quite special bombardment conditions, such as cluster impact [6].

Many previous studies of condensed-gas sputtering concentrated on high bombarding energies, in the upper kilo-electron-volt (keV) and mega-electron-volt (MeV) ranges, where the effects of electronic excitation are significant or even dominant and give rise to electronic sputtering [7]. Sputtering yield studies in the low-keV regime, such as those covered in the

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Dedicated to R. Graham Cooks on the occasion of his sixtieth birthday.

present article, have been performed on both condensed rare gas [8] and diatomic gas targets [9]. The overall picture that has emerged from these investigations is the following: the primary ion energy is quickly dissipated by a collision cascade among target atoms or molecules. The energy density created in the collision cascade volume may be relatively high, surpassing the intermolecular binding forces of the material. Consequently, some of the gasified collision cascade volume flows out into the vacuum, giving rise to a considerable ejection yield, one much too large to be explained by collision cascade sputtering alone. Three similar mechanisms have been suggested to account for this enhanced yield: the thermal spike [10], reduction in intermolecular bonding [11], and the gas-flow mechanism [12–15]. We employ the latter, since it appears plausible for condensed gases and accounts successfully for the results obtained on monoatomic [8] and diatomic [9] targets. The products which are formed by molecular dissociations in the collision-cascade stage may react rapidly with the surrounding target gas during gas flow. The exothermicity of these reactions adds an amount of energy to the gas flow that can be, in some cases, even larger than the amount initially used to dissociate molecules. This chemical energy correlates well with the observed increased sputtering yield for molecular targets relative to atomic ones. Further, it appears that molecular targets are chemically modified by the inclusion of some portion of the reaction products in the target after bombardment, giving rise to a fluence dependence of the sputtering yield.

We have chosen to study three triatomic gases ( $\text{CO}_2$ ,  $\text{NO}_2$ , and  $\text{N}_2\text{O}$ ) as sputtering targets. Since their physical characteristics are quite similar, their differing sputtering behavior can be interpreted in terms of the chemistry occurring during and after ion impact. We have also chosen to study three hydrogen-rich molecules ( $\text{CH}_4$ ,  $\text{C}_2\text{H}_4$ , and  $\text{NH}_3$ ). Their sputtering behavior is characterized by a considerable fluence dependence, which in the case of  $\text{CH}_4$  and  $\text{C}_2\text{H}_4$  is due to a competition between polymerization reac-

tions suppressing sputtering and the gas-flow mechanism enhancing it.

## 2. Experiment

Sputtering yields were measured as a function of incident ion fluence on the same instrument previously used to study sputtering of several condensed diatomic gases [9]. The instrument consists of two heterodyned 6 MHz quartz crystal microbalances mounted at the end of a liquid helium cryostat such that the target gas is deposited on one microbalance while the other is protected and serves as a reference. The difference frequency is measured with a Metra-Byte timer-counter data acquisition card (Model CTM-05) mounted in a PC-type computer which records and manipulates the data. The ion source is a Leybold-Heraeus ion gun (Model IQE 12/63), and the incident ion current is measured with a Keithley electrometer (Model 617) connected to the computer by way of an IEEE-488 interface. This system is thought to have a mass (and sputtering yield) accuracy of better than 10% and is able to detect a mass change as small as 40 pg/s, equivalent to 0.3% of a monolayer/s for a  $\text{CO}_2$  target.

Each plotted data point represents the average yield over a 20 s measurement period (effectively instantaneous) at current densities smaller than 25 nA/cm<sup>2</sup>. About  $2 \times 10^{18}$  molecules/cm<sup>2</sup> of each target gas were deposited at the annealing temperature ( $\text{CO}_2$ , 98 K;  $\text{NO}_2$ , 140 K;  $\text{N}_2\text{O}$ , 92 K;  $\text{NH}_3$ , 120 K;  $\text{CH}_4$ , 44 K; and  $\text{C}_2\text{H}_4$ , 74 K) and then cooled to 5 K for measurement. These conditions resulted in reproducible yields, independent of film thickness and temperature. Tests were done to ensure the absence of fast neutrals in the incident beam, uniform ion fluence over the active area of the microbalance, and the absence of surface charging and ion induced increases in the target temperature.

## 3. Results

The instantaneous sputtering yields  $Y$  for  $\text{CO}_2$ ,  $\text{NO}_2$ ,  $\text{N}_2\text{O}$ ,  $\text{NH}_3$ ,  $\text{CH}_4$ , and  $\text{C}_2\text{H}_4$  bombarded by 5 keV

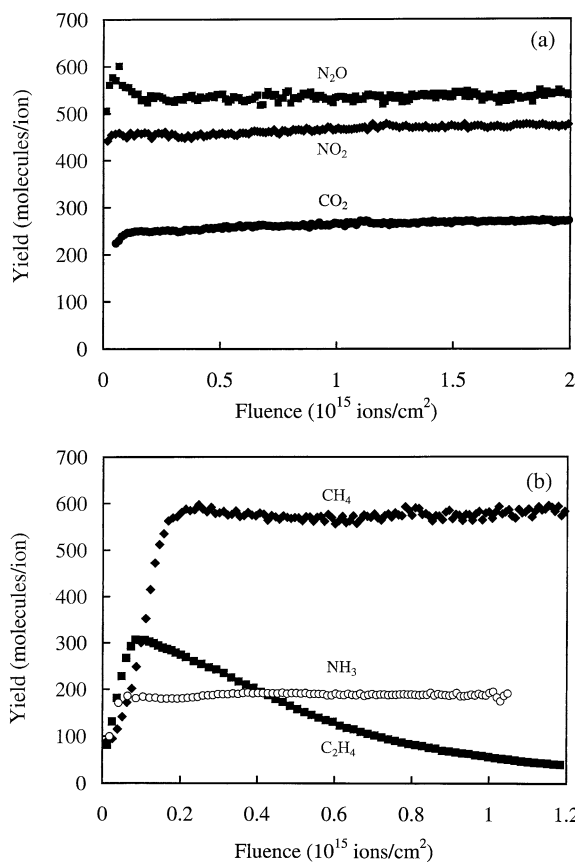


Fig. 1. Measured sputtering yield  $Y$  vs. fluence  $\Phi_I$  for (a) solid  $\text{CO}_2$ ,  $\text{NO}_2$ ,  $\text{N}_2\text{O}$ , and (b) solid  $\text{CH}_4$ ,  $\text{C}_2\text{H}_4$ , and  $\text{NH}_3$  bombarded by 5 keV  $\text{Ar}^+$  ions.

$\text{Ar}^+$  ions are plotted against incident ion fluence  $\Phi_I$  in Fig. 1(a) and (b). It can be seen that in all cases  $Y$  depends on  $\Phi_I$ . As observed previously for the diatomic targets  $\text{O}_2$  and  $\text{NO}$  [9], the yields for  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ ,  $\text{NH}_3$ , and  $\text{CH}_4$  change rapidly for the first  $2 \times 10^{14}$  ions/cm<sup>2</sup> (approximately the fluence at which projectiles start hitting previously bombarded areas) and are then nearly constant. In contrast, the yield for  $\text{NO}_2$  rises only very slowly, and for  $\text{C}_2\text{H}_4$  it initially rises and then steadily decreases, reminiscent of the trend observed previously for  $\text{CO}$  [9] and even earlier for sputtering in the electronic-stopping regime [1,7].

For  $\text{CO}_2$ ,  $\text{NO}_2$ ,  $\text{N}_2\text{O}$ , and  $\text{NH}_3$ , (and also for all the diatomic targets measured previously [9]) the general shapes of the  $Y$  versus  $\Phi_I$  curves were found to be

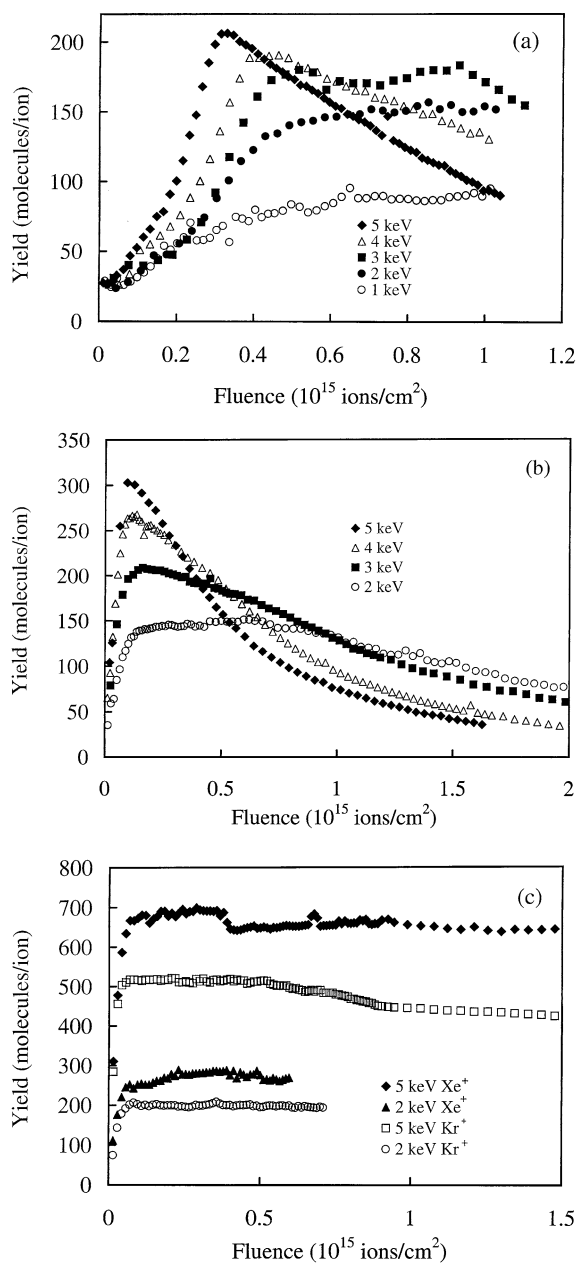


Fig. 2. Measured sputtering yield  $Y$  vs. fluence  $\Phi_I$  for (a) solid  $\text{CH}_4$  bombarded by 1–5 keV  $\text{Ne}^+$  ions, (b) solid  $\text{C}_2\text{H}_4$  bombarded by 1–5 keV  $\text{Ar}^+$  ions, and (c) solid  $\text{C}_2\text{H}_4$  bombarded by 2 and 5 keV  $\text{Kr}^+$  and  $\text{Xe}^+$  ions.

independent of the incident ion and energy. For the carbon rich targets  $\text{CH}_4$  and  $\text{C}_2\text{H}_4$ , this is not the case. Fig. 2 is split into three plots of  $Y$  versus  $\Phi_I$  for these

Table 1  
Initial sputtering yields  $Y_0$  for CO<sub>2</sub>, N<sub>2</sub>O, NO<sub>2</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, and NH<sub>3</sub> bombarded by 1–5 keV rare-gas ions

$E$ (keV)	He <sup>+</sup>	Ne <sup>+</sup>	Ar <sup>+</sup>	Kr <sup>+</sup>	Xe <sup>+</sup>
CO <sub>2</sub>					
1	15	38	64	80	104
2	17	64	130	146	148
3	19	84	176	197	231
4	20	106	210	261	304
5	22	127	233	325	381
N <sub>2</sub> O					
1	30	79	95	101	108
2	39	146	219	215	264
3	47	209	306	308	408
4	55	268	394	414	538
5	60	310	516	522	672
NO <sub>2</sub>					
1	27	76	103	117	118
2	30	153	224	262	298
3	35	196	302	418	410
4	39	239	374	531	545
5	45	264	442	683	691
CH <sub>4</sub>					
1	...	27	57	62	80
2	6	26	87	126	147
3	7	33	92	145	202
4	5	25	93	168	239
5	28	30	94	175	274
C <sub>2</sub> H <sub>4</sub>					
1	...	...	...	20	32
2	...	...	35	75	111
3	...	...	79	186	222
4	...	...	65	193	283
5	...	...	104	284	310
NH <sub>3</sub>					
1	4	33	36	43	41
2	6	36	54	58	109
3	6	40	59	143	172
4	8	...	82	166	182
5	18	45	100	176	203

targets for incident ions and energies that are selected to illustrate the different trends. Fig. 2(a) is for CH<sub>4</sub> bombarded by 1–5 keV Ne<sup>+</sup> ions, Fig. 2(b) is for C<sub>2</sub>H<sub>4</sub> bombarded by 1–5 keV Ar<sup>+</sup> ions, and Fig. 2(c) is for C<sub>2</sub>H<sub>4</sub> bombarded by 2 and 5 keV Kr<sup>+</sup> and Xe<sup>+</sup> ions. In general, it was found that  $Y$  always increases initially, but a subsequent decrease occurs only when the incident energy is high or the incident ion mass is large, i.e. when the yield is large. Measured initial sputtering yields  $Y_0$  (effectively,  $\Phi_I = 0$ ) are listed in Table 1.

## 4. Discussion

In the following, we provide a semiquantitative account of the observed initial sputtering yields  $Y_0$  based on the gas-flow model [15] that explained successfully the sputtering of solid rare gases [8] and diatomic molecules [9], and a qualitative account of the changes in the sputtering yield  $Y$  as a function of fluence.

### 4.1. Collision cascade stage

The primary ion is slowed down in the target material by energetic collisions with the target mole-

Table 2

Quantities relevant to the sputtering of condensed gas targets by 5 keV Ar<sup>+</sup> ions (data taken from [29–32])

	$M^a(\text{u})$	$n^b(10^{-3} \text{ \AA}^{-3})$	$T_c^c(\text{K})$	$U^d(\text{meV})$	$S^e(\text{eV \AA}^{-2})$	$Y_{\text{casc}}^f$	$Y_0^g$	$E_{\text{chem}}^h(\text{eV})$
CO <sub>2</sub>	44	16.1	304	158	3.34	180	233	577
N <sub>2</sub> O	44	16.8	310	171	3.28	163	516	1795
NO <sub>2</sub>	46	19.0	430	395	3.38	70	442	1311
CH <sub>4</sub>	16	16.0	191	85	1.02	96	94	79
C <sub>2</sub> H <sub>4</sub>	28	12.3	283	140	2.04	116	104	938
NH <sub>3</sub>	17	24.1	406	242	1.06	38	100	–62

<sup>a</sup>  $M$  molecular mass.<sup>b</sup>  $n$  molecule number density at boiling temperature  $T_b$  (with the exception of CO<sub>2</sub> where the triple point temperature is taken).<sup>c</sup>  $T_c$  critical temperature.<sup>d</sup>  $U$  surface binding energy (calculated from the heat of vaporization at  $T_b$ ).<sup>e</sup>  $S$  nuclear stopping power of entire molecule (cf. [9]).<sup>f</sup>  $Y_{\text{casc}}$  collision-cascade contribution to sputtering (calculated).<sup>g</sup>  $Y_0$  initial sputtering yield (measured).<sup>h</sup>  $E_{\text{chem}}$  ion-induced chemical energy release.

cules. These or their dissociation fragments recoil from the collision and start sharing their energy in further collisions. In this collision cascade, the primary ion energy  $E$  is spread out over the cascade volume  $V \propto r^3$ . A typical linear dimension (width or depth) of the volume scales as [9,16]

$$r \propto \frac{E}{nS} \quad (1)$$

where the proportionality constant depends slightly on the interaction potential and on the projectile/target mass ratio,  $n$  is the target molecule density, and  $S$  is the ion stopping cross section, which depends on ion energy as well as on the ion and target molecular species. Data for  $n$  and  $S$  are given in Table 2. Here we assume that the projectile/molecule collision can be considered as a spectator collision with one atom of the molecule, such that  $S$  can be calculated as the sum of individual atom–atom stopping cross sections. We note that part of the projectile energy will be lost in electronic excitations and ionization; this contribution is of minor influence except for He<sup>+</sup> ion bombardment and possibly for hydrogen-rich targets.

Sputtering is expected to occur during this energetic collision cascade phase with a yield of [16,17]

$$Y_{\text{casc}} = 0.076 \frac{\beta S}{C_0 U} \quad (2)$$

where  $U$  is the target sublimation energy,  $C_0 = 1.8 \text{ \AA}^2$  is a constant, and  $\beta$  is a function of the projectile/target mass ratio, which assumes values around 0.25 in the cases of interest in this article. Table 2 shows the calculated values of  $Y_{\text{casc}}$ , which range up to 180, due to the low values of  $U$ .

#### 4.2. Dissociation

As a result of energetic ion–molecule and recoil atom–molecule collisions occurring in the cascade volume, molecules may dissociate. Generalizing the ideas that we put forward earlier [9], let us consider a molecule of mass  $M$ , one of whose atoms (mass  $m$ ) receives an energy  $E_{\text{coll}}$  in the course of the cascade. In a simple “spectator” collision model, valid for collision energies that are not too small, this means that the molecule receives an internal energy of  $E_{\text{int}} = (M - m)/M \times E_{\text{coll}}$ . The molecule can only break a bond of dissociation energy  $D$  if  $E_{\text{int}} > D$ , and it will be assumed that the break up is fast on our time scale. The number of dissociations induced by a primary ion of energy  $E$  can be estimated from collision cascade theory [16] to be

$$N_{\text{diss}} = \frac{M - m}{M} \Gamma_m \frac{E}{D} \quad (3)$$

Table 3

Number  $\nu_i$  of products  $i$  formed by bombarding a condensed-gas target by a 5 keV Ar<sup>+</sup> ion. Here X denotes a C or N atom and Y denotes an O or H atom, as appropriate; the dissociation energies  $D_{AB}$  for breaking bond A–B, and  $D_{tot}$  denoting the total atomization energy, were calculated from the pertinent reaction enthalpies

	$D_{XY}(\text{eV})$	$D_{XX}(\text{eV})$	$D_{tot}(\text{eV})$	$\nu_Y$	$\nu_X$	$\nu_{XY}$	$\nu_{XX}$
CO <sub>2</sub>	7.48	...	20.60	207	32	143	...
NO <sub>2</sub>	5.14	...	13.64	271	38	194	...
N <sub>2</sub> O	3.70	5.00	13.50	191	197	113	149
NH <sub>3</sub>	4.71	...	7.63	375	14	...	...
CH <sub>4</sub>	4.55	...	8.20	412	15	...	...
C <sub>2</sub> H <sub>4</sub>	4.81	10.89	...	333	44	44	...

where  $\Gamma_m$  is a cross-section parameter with value  $\Gamma_m \approx 0.5$ , consuming a total energy of

$$E_{\text{diss}} = N_{\text{diss}} D \cong \frac{M - m}{2M} E \quad (4)$$

These ideas may be applied to triatomic molecules ABC (with end atoms A and C) as follows. The collision energy  $E_{\text{coll}}$  is given with probability 1/3 to atoms A. The number of dissociations of A–B bonds (with bond energy  $D_{AB}$ ) to form atoms A and molecules BC is, in analogy to Eq. (3),

$$N_{AB} = \frac{1}{3} \frac{m_B + m_C}{M} \Gamma_m \frac{E}{D_{AB}} \quad (5)$$

The cases where atoms B or C receive the collision energy are handled analogously, with the assumption that for atoms B, both the A–B and the B–C bonds are broken. The same method can be extended to larger molecules by adjusting for the relative probabilities for each atom being struck and then summing the dissociation energy for each broken bond, again assuming that all bonds connected to the struck atom are broken.

From these expressions, the number  $\nu_i$  of products  $i$  formed in a cascade can be determined by summing up the respective numbers from the individual cases. The results for the six molecular targets studied here are listed in Table 3.

#### 4.3. Gas flow

The collision cascade stage is over when the particle energy in the cascade volume has reached a

quasiequilibrium. The temperature in the cascade volume can then be approximated by

$$T \propto \frac{E}{nr^3 C} \propto \frac{S}{r^2 C} \quad (6)$$

where  $C$  is the specific heat (at constant volume) of the target material. More precisely, we may write [9]

$$T = \frac{nS}{\pi C \rho^2} \quad (7)$$

Here,  $\rho$  is the lateral width of the cascade, which is given by stopping theory [15,16] as

$$\rho = 0.42 \frac{E_0^{2m}}{nC_m} \quad (8)$$

and  $C_m$  denotes the so-called cross-section constant.

In most materials the temperature  $T$  will be far below the critical temperature  $T_c$  of the gas–liquid phase transition, such that after the collision cascade stage no further sputtering can occur. In condensed gases, however,  $T_c$  is low, and the condition  $T > T_c$  is more easily reached. Then part of the energized cascade volume can flow out of the cascade volume before heat conduction to the surroundings causes the temperature to drop far enough to freeze the flow. For molecular solids, this typically occurs in a few hundred picoseconds. The corresponding contribution to sputtering has been termed [15] the gas-flow yield,  $Y_{\text{gas}}$ .

The gas-flow contribution to sputtering has been estimated [9] as

$$Y_{\text{gas}} = \frac{\sqrt{\pi}}{4} \xi^2 n \frac{r^4 T}{T_c} \quad (9)$$

where  $\xi$  is a fitting factor and denotes the fraction of the cascade surface area active in the gas flow. This formula is valid for  $T \gg T_c$  and is the limiting case of a more general expression. Note that a dependence on  $T_c$  does not occur in other sputtering models. For the three triatomic gases considered, the physical properties collected in Table 2 are very similar. As a consequence  $T$ , and thus  $Y_{\text{gas}}$ , are expected to be very similar for these three targets, and from Eq. (9) we immediately obtain

$$\frac{Y_{\text{gas},X}}{Y_{\text{gas},\text{CO}_2}} = \frac{T_{c,\text{CO}_2}}{T_{c,X}} \quad (10)$$

This relation was observed in the case of those diatomic solids for which no net chemical transformations were expected [9] and can be expected to hold for the cases studied here in the absence of chemical reactions. In particular, the  $Y_0$  values for  $\text{CO}_2$  and  $\text{N}_2\text{O}$  should be identical, while that of  $\text{NO}_2$  should be slightly smaller since  $T_c$  of the latter is somewhat larger. The experimental data reveal that this is not the case. We attribute this discrepancy to chemical effects occurring during the gas flow.

#### 4.4. Effects of chemical reactions

In a manner similar to that discussed previously for diatomic targets [9], the atomic and molecular fragments formed during the collision cascade can either recombine or react with the surrounding molecules, altering the chemical composition of the bombarded material. The latter reactions are much more likely since only a few percent of the molecules in the collision cascade volume are dissociated and a fragment is more likely to encounter one of the surrounding molecules than another fragment during the few hundred picoseconds of gas flow. For instance, we neglect the effects of hydrogen atom recombination in the discussion of the sputtering of hydrocarbons and ammonia, even though it is known that most of them recombine ultimately (e.g. the energy release associ-

ated with hydrogen atom recombination causes an amorphous to crystalline transition in water ice [18]). We also dismiss reactions with significant activation energies as being too slow to affect the energy balance. For example, in the discussion of the sputtering of the three triatomic targets studied, we expect singlet ( $^1D$ ) oxygen atoms to react with little or no activation energy while the corresponding reactions with ground state triplet ( $^3P$ ) oxygen atoms proceed too slowly to be important (e.g. using  $\text{O}(^3P)$  atoms in reaction (21), where  $A = 6.92 \times 10^{10}$  and  $E_a = 28$  kcal/mol [19], should result in only about one reaction occurring per  $5 \times 10^4$  incident 5 keV  $\text{Ar}^+$  ions). In any case, the fragmentation reactions surely initially form  $\text{O}(^1D)$  atoms, the subsequent spin flip to give  $\text{O}(^3P)$  atoms must be slow on the time scale of gas flow, and thus  $\text{O}(^1D)$  atoms must predominate.

Consequently, we are primarily concerned with exothermic reactions that proceed with little or no activation barrier and occur between products of the collision cascade and the major surrounding material. These reactions add a maximum amount of chemical energy that can be estimated from

$$E_{\text{chem}} = -N_{\text{diss}} \Delta H_{\text{reac}} = -\frac{1}{4} \frac{\Delta H_{\text{reac}}}{D} E \quad (11)$$

where  $\Delta H_{\text{reac}}$  is the net change in enthalpy for all reactions leading from the initially formed fragments to stable products on a time scale relevant to gas flow. This added energy counteracts heat losses and prevents early cooling and condensation of the gas, extending the duration of the sputtering process. For targets with similar physical properties one should expect that relative differences in yields would be related to  $E_{\text{chem}}$ . This idea is supported by Fig. 3, which plots  $Y_0$  versus  $E_{\text{chem}}$  for the triatomic targets bombarded by 5 keV  $\text{Ar}^+$  ions. Clearly, the yields do correlate with  $E_{\text{chem}}$ . The reactions used for computing  $E_{\text{chem}}$  are given below for each molecule and the results are presented in the following and in Table 2.

The fluence dependence of  $Y$  can be understood by examining the reaction products which are expected to build up in the target. When  $\Phi_I > 0$ ,  $E_{\text{chem}}$  must be modified to include the additional reactions which

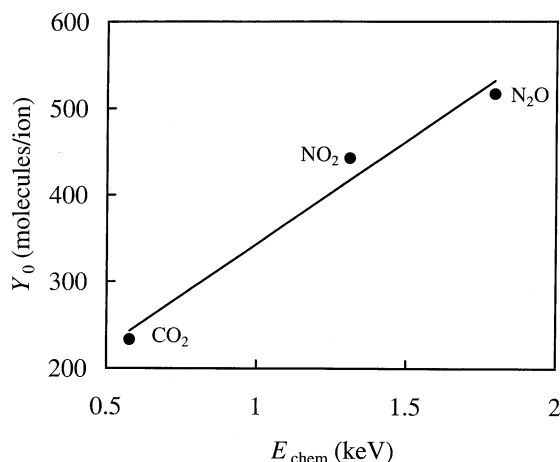
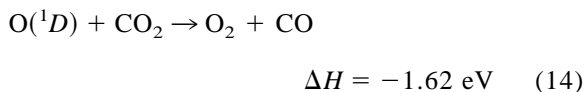


Fig. 3. Measured initial sputtering yield  $Y_0$  vs.  $E_{\text{chem}}$  for solid  $\text{CO}_2$ ,  $\text{NO}_2$ , and  $\text{N}_2\text{O}$  bombarded by 5 keV  $\text{Ar}^+$  ions.

can occur between new products and the products formed by previous impacts and then frozen in the target. In addition,  $T_c$  for the reaction products that accumulate may be considerably different than  $T_c$  for the original target (e.g.  $T_c$  for  $\text{NH}_3$  is 406 K but  $T_c$  for  $\text{H}_2$ , a major sputtering product, is 33 K). As a result, as  $\Phi_I$  increases, we expect there to be a general tendency for  $Y$  to increase if products with low  $T_c$  accumulate in the target, but for  $Y$  to decrease if products with high  $T_c$  accumulate. In the following discussion, we refer specifically to results obtained for bombardment with 5 keV  $\text{Ar}^+$  ions, but it is expected to apply generally. The specific reactions which contribute to  $E_{\text{chem}}$  and change the effective  $T_c$  of the target material are described in the following for each target (reaction heats are shown [20]):

#### 4.4.1. $\text{CO}_2$



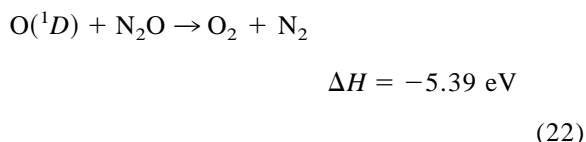
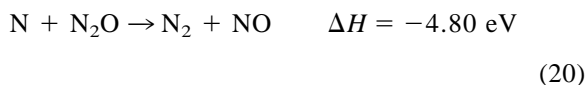
The neutral material sputtered from solid  $\text{CO}_2$  by 6 keV  $\text{Ar}^+$  ions has been reported [21,22] to be primarily  $\text{CO}$  and  $\text{CO}_2$ , whereas the negative ion products were dominated by  $\text{CO}_3^-$  anions [23]. These sputtered products are consistent with the reactions listed since  $\text{CO}_3$  (electron affinity = 3.26 eV [20]) can be expected to scavenge much of the negative charge to form the observed anion. Assuming similar frequency factors for reactions (14) and (15), we calculate  $E_{\text{chem}}$  to be 577 eV [(207/2 O atoms  $\times$  -1.62 eV) + (207/2 O atoms  $\times$  -2.21 eV) + (32 C atoms  $\times$  -5.64 eV)]. Experimentally,  $Y_0$  is 233 molecules/ion, the smallest value of the three triatomic targets measured and is consistent with a relatively small  $E_{\text{chem}}$ . In fact,  $Y_0$  is nearly the same as that predicted for  $Y_{\text{casc}}$ .

With increasing incident ion fluence,  $Y$  increases rapidly to 250 molecules/ion at  $\Phi_I \approx 2 \times 10^{14}$  ions/cm<sup>2</sup> and thereafter continues to rise only very slowly. We propose that the initial increase is due to reactions (12)–(16) and secondary reactions such as the combination of O atoms to form  $\text{O}_2$ , which enrich the surface in the more volatile products  $\text{O}_2$  and  $\text{CO}$ . These molecules both have high sputtering yields (700 and 817 molecules/ion, respectively [9]). The slow rise above  $\Phi_I \approx 2 \times 10^{14}$  ions/cm<sup>2</sup> is in stark contrast to the trend that was observed for  $\text{CO}$ , where  $Y$ , after peaking at  $\Phi_I \approx 5 \times 10^{14}$  ions/cm<sup>2</sup>, steadily decreases. It was argued that this decrease is the result of a buildup of nonvolatile carbon-rich species with very high  $T_c$ . It seems clear that such buildup is resisted in  $\text{CO}_2$  because of the abundance of O atoms. These can rapidly recombine with the carbon-rich species by highly exothermic reactions to reform  $\text{CO}$  and  $\text{CO}_2$ , thus stabilizing the composition of the target.

#### 4.4.2. $\text{N}_2\text{O}$

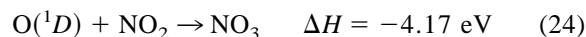






The sputtering products of  $\text{N}_2\text{O}$  have been investigated [12,24] by IR examination of both the ejected material that has been collected on a cold window and of the residual solid of bombarded matrices. The primary product in each method was found to be NO (or  $\text{N}_2\text{O}_2$ ) as would be expected for the reactions listed.  $E_{\text{chem}}$  is estimated as 1795 eV [(197 N atoms  $\times$  -4.80 eV) + (191/2 O atoms  $\times$  -3.50 eV) + (191/2 O atoms  $\times$  -5.39 eV)]. This is over three times the value for  $\text{CO}_2$ , and  $\text{N}_2\text{O}$  indeed has a  $Y_0$  value of 516 molecules/ion, more than twice that of  $\text{CO}_2$ .

For  $\Phi_I > 0$ ,  $Y$  shows a small initial increase that peaks quickly at about  $\Phi_I = 1 \times 10^{14}$  ions/cm<sup>2</sup>, then decreases until  $\Phi_I = 2 \times 10^{14}$  ions/cm<sup>2</sup>, and subsequently remains constant at  $Y = 525$  molecules/ion. This is consistent with the build up of various  $\text{N}_x\text{O}_y$  molecules of lower volatility by reactions such as:

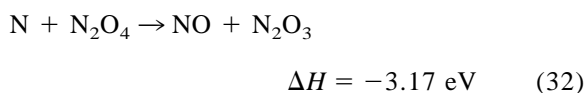
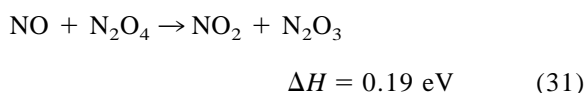
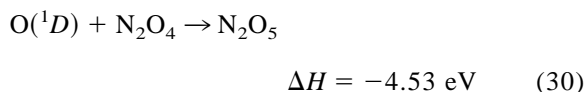


The occurrence of these reactions is supported by the secondary ion mass spectrum of solid  $\text{N}_2\text{O}$  [25,26], which is particularly rich in  $\text{N}_2\text{O}_3$  and  $\text{N}_2\text{O}_4$ .

#### 4.4.3. $\text{NO}_2$

This molecule exists as the weakly bound dimer  $\text{N}_2\text{O}_4$  in the solid state, and in the following we

assume that all collisions that lead to dissociation also split the dimer. We can then write the dissociation reactions as if we were dealing with  $\text{NO}_2$  itself by including the energy required to split the dimer (0.59 eV) as part of each value of  $D$ . This assumption is in agreement with the “spectator” collision model adopted in deriving Eqs. (3)–(5) and means that dissociation products of  $\text{NO}_2$  will not remain bonded to the (undamaged) spectator  $\text{NO}_2$  partner in the dimer

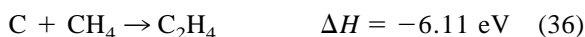
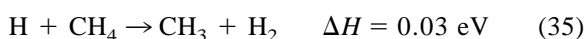


The sputtering products of  $\text{NO}_2$  have also been investigated [12,24] by the methods used for  $\text{N}_2\text{O}$ . The primary product in this case was found to be the asymmetric isomer of  $\text{N}_2\text{O}_3$ , again consistent with the reactions listed. We calculate  $E_{\text{chem}}$  to be 1311 eV [(271 O atoms  $\times$  -4.53 eV) + (194 NO molecules  $\times$  0.19 eV) + (38 N atoms  $\times$  -3.17 eV)], between that for  $\text{CO}_2$  and  $\text{N}_2\text{O}$ . It is satisfying that the observed  $Y_0 = 442$  molecules/ion also is between that for  $\text{CO}_2$  and  $\text{N}_2\text{O}$ . The sputtering yield rises only slightly with fluence, again most likely because of the buildup of various  $\text{N}_x\text{O}_y$  molecules.

#### 4.4.4. $\text{CH}_4$ and $\text{C}_2\text{H}_4$

These two condensed gas targets differ quite strongly in their physical characteristics:  $\text{C}_2\text{H}_4$  has a 1.5–2 times larger mass,  $U$ ,  $T_c$ , and  $S$ , than  $\text{CH}_4$  (cf. Table 1). Nevertheless, their  $Y_0$  values are comparable. This behavior can be understood by considering

$Y_{\text{casc}}$ , Eq. (2), where it is seen that the effects of higher  $U$  and  $S$  almost cancel. In fact, for these two materials the measured  $Y_0$  are almost identical with their  $Y_{\text{casc}}$ . This indicates that here the gas-flow sputtering contribution is negligible. The reason lies in the fact that the collision cascades in these rather low-density materials tend to be quite extended, and the energy density is not high enough to reach  $T_c$  and thereby initiate gas flow. Further, it appears that there is no help from  $E_{\text{chem}}$ . The expected reactions for  $\text{CH}_4$  are



The neutral material sputtered from solid  $\text{CH}_4$  by 6 keV  $\text{Ar}^+$  ions has been reported [27e] to be primarily  $\text{H}_2$  and  $\text{CH}_4$  and smaller amounts of the  $\text{C}_2$  species,  $\text{C}_2\text{H}_6$ ,  $\text{C}_2\text{H}_4$  (dominant), and  $\text{C}_2\text{H}_2$ , again consistent with the reactions listed. We find  $E_{\text{chem}} = 79 \text{ eV}$  [(412 H atoms  $\times$  0.03 eV) + (15 C atoms  $\times$  -6.11 eV)]. Similar reactions occur for  $\text{C}_2\text{H}_4$  except that now the reaction of H atoms with the surrounding target molecules



is considerably exothermic [cf. reaction (35)], and  $E_{\text{chem}} = 938 \text{ eV}$ .

The fluence dependence of  $Y$  for  $\text{CH}_4$  under bombardment with 1–5 keV  $\text{Ne}^+$  ions is displayed in Fig. 2(a). For all projectiles we observe a considerable initial increase in  $Y$ . This can be attributed to the gradual accumulation of highly volatile and easily sputtered  $\text{H}_2$  by reactions (33)–(35), the combination of two H atoms after gas flow has ceased.

For higher fluences two different scenarios are seen to develop in Fig. 2. (1) Under light ion bombardment [shown in Fig. 2(a) for  $\text{Ne}^+$  ions, but observed also for  $\text{He}^+$  ions], the yields reach a maximum and then start decreasing. Such behavior has been observed repeatedly under higher keV- and MeV-ion bombardment of  $\text{CH}_4$  and has been attrib-

uted to the formation of high-molecular-weight carbonaceous molecules in the target [27]. For the reactions considered up to now, it has been sufficient to start with only the neutral primary products. This is not likely to be the case here. If we begin with neutral reaction (33), a  $\text{CH}_3$  radical can abstract a hydrogen atom from  $\text{CH}_4$  in an energetically neutral reaction, but cannot condense with it to form a  $\text{C}_2\text{H}_x$  species. Two  $\text{CH}_3$  radicals could combine to form  $\text{C}_2\text{H}_6$ , but this is infrequent and ignored presently. If we begin with reaction (34), we have similar problems. Atomic carbon can attack  $\text{CH}_4$  to give  $\text{C}_2\text{H}_4$  [reaction (36)]. This in turn can be attacked by  $\text{CH}_3$  or C to give  $\text{C}_3\text{H}_x$  species, but the reactions can continue only if further  $\text{CH}_3$  or C are encountered. The same problem arises if we were to start with  $\text{CH}_2$  (if only two hydrogens are ejected from  $\text{CH}_4$ ); the reaction stops with  $\text{C}_2\text{H}_6$ . In contrast, the reaction of  $\text{CH}_4$  with the charged species  $\text{CH}_3^+$  and  $\text{H}^+$  is well suited to producing polymers by the sequence:



etc.

(2) For heavier projectiles [shown in Fig. 1(b) for  $\text{Ar}^+$  ions, but observed also for  $\text{Kr}^+$  and  $\text{Xe}^+$  ions] we see a saturation of the  $Y$  value at a high level, with no sign of a subsequent decrease. This behavior has not been observed before. We attribute it to a strong gas flow occurring under these higher yield conditions. Evidently, the flow is sufficient to entrain enough products to prevent the accumulation of larger polymers.

Note that projectiles of equal energy but different mass create distinctly different energy densities in the impact region. For example, for 5 keV  $\text{Ne}^+$  and  $\text{Ar}^+$  ion impact, using the appropriate values in Eqs. (7) and (8) for the cross-section constant  $C_m$ ,  $m = 0.25$ , we obtain

$$\rho = 123 \text{ \AA}, T = 83 \text{ K}$$

for 5 keV Ar<sup>+</sup> ion bombardment (43)

$$\rho = 164 \text{ \AA}, T = 43 \text{ K}$$

for 5 keV Ne<sup>+</sup> for bombardment (44)

Here, cross sections for CH<sub>4</sub> and a low-temperature specific heat of  $3k_B$ /molecule, i.e. no vibrational excitation, have been assumed. The essential point is that the energy densities (temperatures) reached under Ne<sup>+</sup> ion bombardment can be a factor of two smaller than when Ar<sup>+</sup> ions are used.

Both temperatures are below the  $T_c$  of CH<sub>4</sub> (191 K), and a gas-flow contribution to sputtering in the virgin CH<sub>4</sub> target is not expected. However, the developing H<sub>2</sub> will effectively decrease bonding in the target and diminish the temperature necessary for flow development. Further, after prolonged bombardment, the enhanced stopping power of the larger C<sub>x</sub>H<sub>y</sub> molecules will increase  $T$  to the same effect. This will only occur, of course, if the ion-modified material has an atom-number density that is comparable to the original volume.

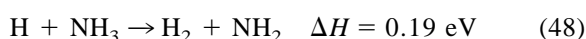
Even the light He<sup>+</sup> projectile leads to considerable fluence evolution of the sputtering yield and must be assumed to induce target material modifications. This is probably due to the good energy coupling of the He<sup>+</sup> ion to the H atoms that are abundant.

Fig. 2(b) shows that qualitatively analogous processes occur in a C<sub>2</sub>H<sub>4</sub> target. The initial increase is again attributed to H<sub>2</sub> buildup. Now, due to the higher  $T_c$  of C<sub>2</sub>H<sub>4</sub>, even Ar<sup>+</sup> ions are unable to produce a sufficiently high energy density to establish the strong gas flow necessary to sputter the high-mass polymer products. However, bombardment with Kr<sup>+</sup> and Xe<sup>+</sup> ions [Fig. 2(c)] shows that the same high plateau yields as seen for CH<sub>4</sub> targets can be obtained.

#### 4.4.5. NH<sub>3</sub>

The bombardment of NH<sub>3</sub> can be usefully compared to that of CH<sub>4</sub> since both molecules have similar masses and stopping cross sections. The observed  $Y_0$  values for NH<sub>3</sub> are similar to, but slightly higher than, those for CH<sub>4</sub>, (Table 1). This appears to

be due to a cancellation of two effects. The higher  $U$  and  $T_c$  of NH<sub>3</sub> make it less volatile and suppress both collision-cascade and gas-flow contributions to sputtering, but the density of NH<sub>3</sub> is 50% higher than that of CH<sub>4</sub>. This causes the linear dimensions of the cascade to shrink by a factor of 67% [Eq. (1)], the energy density increases by a factor of  $1.5^3 = 3.4$ , and the sputtering of NH<sub>3</sub> has a high yield. As the comparison with the  $Y_{\text{casc}}$  in Table 2 shows, sputtering in NH<sub>3</sub> must be due, in large part, to a gas flow contribution. As for CH<sub>4</sub>, the sputtering of NH<sub>3</sub> is expected to gain little or nothing from  $E_{\text{chem}}$ . The anticipated reactions are



Support for the validity of these reactions is provided by the neutral material which has been observed [28] to be sputtered from solid NH<sub>3</sub> by 3 keV Ar<sup>+</sup> ions—primarily NH<sub>3</sub>, H<sub>2</sub>, and N<sub>2</sub>, and some N<sub>2</sub>H<sub>4</sub>. From these reactions,  $E_{\text{chem}} = -62 \text{ eV}$ , i.e. actually slightly endothermic [ $(375 \text{ H atoms} \times 0.19 \text{ eV}) + (14 \text{ N atoms} \times -0.65 \text{ eV})$ ]. As displayed in Fig. 1(b), sputtering for NH<sub>3</sub> increases rapidly with increasing  $\Phi_I$ . In analogy to CH<sub>4</sub> bombardment, this may again be attributed to the buildup of H<sub>2</sub>. In contrast to CH<sub>4</sub> (and C<sub>2</sub>H<sub>4</sub>), however, NH<sub>3</sub> does not polymerize (i.e. reactions comparable to reactions (40)–(42) do not occur) and the yields do not subsequently decline. Instead, they saturate at relatively large values for reasons discussed above for CH<sub>4</sub>.

## 5. Conclusions

We have measured the fluence dependence of the sputtering yields of the molecular-gas targets CO<sub>2</sub>, NO<sub>2</sub>, N<sub>2</sub>O, NH<sub>3</sub>, CH<sub>4</sub>, and C<sub>2</sub>H<sub>4</sub> under bombardment by rare gas ion in the keV energy range. The results are interpreted using concepts from collision cascade theory, the gas-flow model of sputtering, and the energetics of the expected chemical reactions.

For the three triatomic molecules studied, the physical properties (mass, atomic charges, density, and to a lesser extent, volatility) are similar, and the difference in the initial yields observed could be correlated to the exothermic chemical reactions that feed energy into the gas flow. The sputtering yield of CO<sub>2</sub> was found to be low, close to the calculated collision cascade yield, consistent with the fact that CO<sub>2</sub> is the lowest energy molecule that can be formed from carbon and oxygen. The sputtering yields of NO<sub>2</sub> and N<sub>2</sub>O increase above that of CO<sub>2</sub> in proportion to the exothermicity of the chemical reactions occurring during and after ion impact. Only moderate fluence dependencies were observed, indicating that only modest chemical modifications build up on the surface of the target.

Bombardment of CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> targets resulted in low initial sputtering yields, implying that little or no gas flow participates in the sputtering mechanism. With prolonged bombardment, the sputtering yields rise in all cases studied due to the build-up of the highly volatile H<sub>2</sub>. For higher bombardment fluences, however, two different trends are observed. In the case of lighter ions (He<sup>+</sup>, Ne<sup>+</sup>, and for C<sub>2</sub>H<sub>4</sub> targets, also Ar<sup>+</sup>) the yields eventually decrease, probably due to polymerization reaction products coating the surface of the target. For heavier projectile ions, however, the yields saturate at a high level. We attribute this latter behavior to a large gas-flow contribution to the sputtering mechanism which erodes even the heavier carbonaceous products.

The results for NH<sub>3</sub> are similar to those for CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub>, except that there is no buildup of polymers on the surface of the target. Thus, the yields do not decrease with increasing incident ion fluence.

## Acknowledgements

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